

## Model Code of Conduct Complaints Statistics 2022-23

### Rous County Council

#### Number of Complaints

1 The total number of complaints **received** in the reporting period about councillors and the General Manager (GM) under the code of conduct from the following sources:

i	Community	0
ii	Other Councillors	0
iii	General Manager	0
iv	Other Council Staff	0

2 The total number of complaints **finalised** about councillors and the GM under the code of conduct in the following periods:

i	3 Months	0
ii	6 Months	0
iii	9 Months	0
iv	12 Months	0
v	Over 12 months	0

#### Overview of Complaints and Cost

3 a	The number of complaints <b>finalised at the outset</b> by alternative means by the GM or Mayor	0
b	The number of complaints <b>referred to the Office of Local Government (OLG)</b> under a special complaints management arrangement	0
c	The number of code of conduct complaints <b>referred to a conduct reviewer</b>	0
d	The number of code of conduct complaints <b>finalised at preliminary assessment</b> by conduct reviewer	0
e	The number of code of conduct complaints <b>referred back to GM or Mayor</b> for resolution after preliminary assessment by conduct reviewer	0
f	The number of finalised code of conduct complaints <b>investigated by a conduct reviewer</b>	0
g	Cost of dealing with code of conduct complaints via preliminary assesment	0
h	Progressed to <b>full investigation by a conduct reviewer</b>	0
i	The number of finalised complaints investigated where there was found to be <b>no breach</b>	0
j	The number of finalised complaints investigated where there was found to be <b>a breach</b>	0
k	The number of complaints referred by the GM or Mayor <b>to another agency</b> or body such as the ICAC, the NSW Ombudsman, OLG or the Police	
i	ICAC	0
ii	NSW Ombudsman	0
iii	OLG	0

iv	Police	<input type="text" value="0"/>
v	Other Agency (please specify)	<input type="text" value="0"/>
	<input type="text"/>	
l	The number of complaints being investigated that are <b>not yet finalised</b>	<input type="text" value="0"/>
m	The <b>total cost</b> of dealing with code of conduct complaints within the period made about councillors and the GM including staff costs	<input type="text" value="0"/>

### Preliminary Assessment Statistics

4 The number of complaints determined by the conduct reviewer at the preliminary assessment stage by each of the following actions:

- |   |   |                                |
|---|---|--------------------------------|
| a | To take no action (clause 6.13(a) of the 2020 Procedures)   | <input type="text" value="0"/> |
| b | To resolve the complaint by alternative and appropriate strategies (clause 6.13(b) of the 2020 Procedures)  | <input type="text" value="0"/> |
| c | To refer the matter back to the GM or the Mayor, for resolution by alternative and appropriate strategies (clause 6.13(c) of the 2020 Procedures) | <input type="text" value="0"/> |
| d | To refer the matter to another agency or body such as the ICAC, the NSW Ombudsman, OLG or the Police (clause 6.13(d) of the 2020 Procedures)      | <input type="text" value="0"/> |
| e | To investigate the matter (clause 6.13(e) of the 2020 Procedures)   | <input type="text" value="0"/> |
| f | Other action (please specify)   | <input type="text" value="0"/> |

### Investigation Statistics

5 The number of investigated complaints resulting in a determination that there was **no breach**, in which the following recommendations were made:

- |   |   |                                |
|---|---|--------------------------------|
| a | That the council revise its policies or procedures  | <input type="text" value="0"/> |
| b | That a person or persons undertake training or other education (clause 7.40 of the 2020 Procedures) | <input type="text" value="0"/> |

6 The number of investigated complaints resulting in a determination that there **was a breach** in which the following recommendations were made:

- |   |  |                                |
|---|--|--------------------------------|
| a | That the council revise any of its policies or procedures (clause 7.39 of the 2020 Procedures)   | <input type="text" value="0"/> |
| b | In the case of a breach by the GM, that action be taken under the GM's contract for the breach (clause 7.37(a) of the 2020 Procedures)   | <input type="text" value="0"/> |
| c | In the case of a breach by a councillor, that the councillor be formally censured for the breach under section 440G of the Local Government Act 1993 (clause 7.37(b) of the 2020 Procedures)   | <input type="text" value="0"/> |
| d | In the case of a breach by a councillor, that the councillor be formally censured for the breach under section 440G of the Local Government Act 1993 and that the matter be referred to OLG for further action (clause 7.37(c) of the 2020 Procedures) | <input type="text" value="0"/> |

- |   |   |                                |
|---|---|--------------------------------|
| 7 | Matter referred or resolved after commencement of an investigation (clause 7.20 of the 2020 Procedures) | <input type="text" value="0"/> |
|---|---|--------------------------------|

### Categories of misconduct

8 The number of investigated complaints resulting in a determination that there was a breach with respect to each of the following categories of conduct:

a	General conduct (Part 3)	0
b	Non-pecuniary conflict of interest (Part 5)	0
c	Personal benefit (Part 6)	0
d	Relationship between council officials (Part 7)	0
e	Access to information and resources (Part 8)	0

### Outcome of determinations

9 The number of investigated complaints resulting in a determination that there was a breach in which the council:

a	Adopted the independent conduct reviewers recommendation	0
b	Failed to adopt the independent conduct reviewers recommendation	0

10 The number of investigated complaints resulting in a determination where:

a	The external conduct reviewers decision was overturned by OLG	0
b	Council's response to the external conduct reviewers recommendation was overturned by OLG	0

11 Date Code of Conduct data was presented to council

13.12.2023